

## Recovery of physical function, muscle mass, and quality of life in patients undergoing allogeneic hematopoietic stem cell transplantation

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### Abstract

This study aimed to investigate the recovery of physical function, muscle mass, and quality of life (QOL) in allogeneic hematopoietic stem cell transplantation (allo-HSCT) patients 1 year after the procedure. A total of 71 patients who underwent allo-HSCT at our institution between February 2010 and June 2020, for whom a physical therapy assessment could be performed before allo-HSCT, at discharge, and 1 year after the procedure, were included. Exercise therapy during hospitalization was provided individually by a physical therapist, and exercise was self-administered after discharge. One year after allo-HSCT, handgrip strength and results of the 6-minute walk test recovered to pre-HSCT levels. However, muscle mass 1 year after allo-HSCT did not reach the pre-HSCT level. All subscales of QOL, 1 year after allo-HSCT, recovered to pre-HSCT levels, but only two of the eight subscales recovered to the national standard of 50. Multivariate analysis revealed factors associated with the recovery of physical function, muscle mass, and QOL, hemoglobin levels and albumin levels, especially among men. In contrast, factors that negatively affected recovery were age, acute graft-versus-host disease, and pre-HSCT intensity conditioning. The results suggest a potential recovery in handgrip strength, endurance, and QOL 1 year after allo-HSCT.

**Key words** physical function recovery, muscle mass, quality of life, exercise therapy, allogeneic hematopoietic stem cell transplantation

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### Introduction

Allogeneic hematopoietic stem cell transplantation (allo-HSCT) is a useful treatment for hematopoietic malignancies, and improved transplant outcomes have increased survival rates<sup>1, 2</sup>. However, patients' physical function is usually impaired prior to allo-HSCT because of inactivity caused by remission induction and consolidation therapy initiated after diagnosis<sup>3</sup>. In addition, pre-transplant treatment with high-dose chemotherapy, infections associated with allo-HSCT, and graft-versus-host disease (GvHD) can lead to a decrease in physical activity<sup>4, 5</sup>. Therefore, rehabilitation is important to prevent a decline in physical function and quality of life (QOL)<sup>6, 7</sup>. Exercise therapy is beneficial in maintaining physical function, QOL, and lower extremity muscle

mass after allo-HSCT<sup>4</sup>. In addition to physical function and QOL assessment, muscle mass measurement is essential for preventing sarcopenia and frailty<sup>8, 9</sup>. Recently, it has been suggested that early rehabilitation after diagnosis of a hematological disease is safe and helpful for early recovery of physical function<sup>10, 11</sup>. Furthermore, most patients independently perform activities of daily living (ADL) but limit outdoor activities when discharged from the hospital. Therefore, a post-discharge approach aimed at early recovery of physical function is essential.

Numerous reports on QOL recovery in allo-HSCT patients have been published, with the recovery time ranging from 6 months to 1 year<sup>12, 13</sup>. Concerning recovery of physical function, Wiskemann et al. reported an improvement in the 6-min walk test (6 MWT) results at

6-8 weeks after discharge compared with the time of discharge, but not to pre-HSCT levels<sup>14</sup>. Hayakawa et al. reported that the 6 MWT results at 1 year after allo-HSCT reached pre-HSCT levels, but handgrip strength and knee extension muscle strength did not improve<sup>15</sup>. Most reports on the recovery of physical function are from the early post-discharge period<sup>14, 16, 17</sup>, and few studies report the recovery beyond 6 months after allo-HSCT. To the best of our knowledge, no reports exist on the recovery at 1 year after allo-HSCT with respect to changes in muscle mass. This study aimed to investigate factors affecting recovery and the outcomes concerning physical function, muscle mass, and QOL 1 year after allo-HSCT.

## Patients and Methods

### Inclusion/exclusion criteria

The inclusion criteria comprised patients with hematologic diseases who underwent their first allo-HSCT at our institution and whose physical function, muscle mass, and QOL could be assessed prior to allo-HSCT. Exclusion criteria included patients with bone and joint disorders, severe cardiac dysfunction, severe lung dysfunction, or bone metastasis. Of the 327 patients who underwent allo-HSCT between February 2010 and June 2020, 286 met the inclusion criteria. According to the Japanese ethics guidelines for clinical studies, to obtain a signed informed consent is not required from each patient. Instead, we provided online information regarding this retrospective study, and patients could exclude themselves if they did not want us to use their data. This study was approved by the ethics committee of Imamura General Hospital (NCR21-45) and conducted in accordance with the Declaration of Helsinki and its later amendments.

### Exercise intervention

Our institution provides rehabilitation to all patients undergoing allo-HSCT. Physiotherapy assessments are performed routinely before allo-HSCT, at discharge, and during the long-term follow-up (LTFU) outpatient visits.

Exercise therapy during hospitalization began approximately 2 weeks before allo-HSCT and was conducted individually by the physical therapist five times a week for 20 to 40 minutes per session until discharge. The exercise therapy included stretching, strength and balance training, step-ups, and endurance training (walking and cycling). Stretching was a passive exercise conducted for the lower limb and trunk muscles with the assistance of a physical therapist (10 min). Resistance training targeted the hip flexors, hip abductors, knee extensors, and ankle plantar flexors (10-15 min)

and was accomplished by manipulating resistance provided by the physical therapist. The target intensity for strength training was "somewhat hard" based on the Borg scale<sup>18</sup>. All exercises were performed for one to two sets of 10-15 repetitions. Endurance training was completed using a stationary bicycle or walking in a corridor (10-15 min). Exercise intensity for endurance training was determined using the Karvonen method<sup>19</sup>, with 60% of the predicted maximal heart rate. The exercise was stopped if 70% of the maximum heart rate was reached. Exercise therapy was recommended for patients with hemoglobin levels above 8 g/dL, and platelet counts above 20,000/ $\mu$ L. If the platelet count was between 10,000 and 20,000, resistance exercise was not performed, but walking exercise was continued. If the hemoglobin level was 7-8, endurance training was stopped. If patients had vomiting/nausea, fever, fatigue symptoms, hemoglobin levels 7 g/dL or below, platelet counts 5,000-10,000/ $\mu$ L or below, exercise intensity was reduced. Reduced-intensity exercise therapy consisted of stretching and active movement of the upper and lower limbs at the sitting and/or standing position (excluding resistance training). Strength training and corridor walking were conducted in our institution's HEPA-filtered ward during the cytopenia phase. Patients also performed some exercises independently as instructed. In particular, we advised patients to do walking exercises.

Exercise therapy was self-administered after discharge from the hospital. Before discharge, rehabilitation guidance was provided by the physical therapist, and patients were instructed to continue the exercises they had performed during hospitalization. We distributed exercise therapy leaflets to the patients. In particular, continuing lower extremity strength training and walking exercises was recommended.

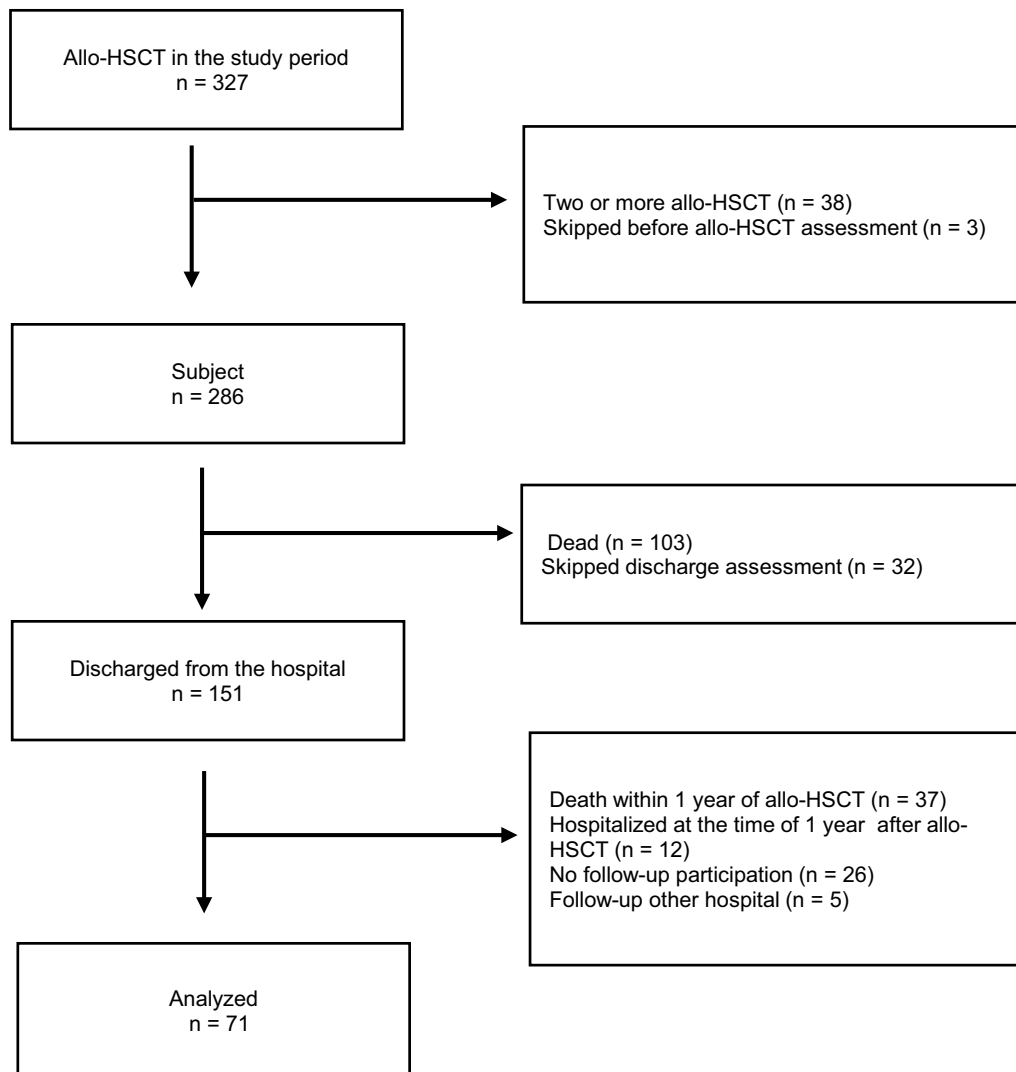
### Assessment

Physical function, muscle mass, and QOL assessments were performed approximately 2 weeks before allo-HSCT, at discharge, and 1 year after allo-HSCT during LTFU outpatient visits.

### Physical function tests

The 6 MWT and handgrip strength were measured as physical function tests. The 6 MWT was based on the American Thoracic Society guidelines<sup>20</sup> and performed in a straight corridor with cones at 20 m intervals.

Handgrip strength was measured in a standing position with mild shoulder joint abduction using an adjustable-handle dynamometer (TKK 5101; TAKEI Scientific Instruments Co. Ltd., Niigata, Japan). The average of the left and right handgrip strength measurements was used as the handgrip strength index.



**Figure 1. Study flow diagram**  
Allo-HSCT, allogeneic hematopoietic stem cell transplantation

### Body composition

Muscle mass and body weight were used to evaluate body composition. Muscle mass was measured by bio-electrical impedance analysis using Physion MD (Physion Ltd., Kyoto, Japan) in a resting supine position, with mild shoulder and hip abduction.

### Health-related QOL

Health-related QOL was assessed using the Medical Outcome Study 36-item Short Form Health Survey (SF-36)<sup>21, 22</sup>. SF-36 consists of eight subscales: physical functioning (PF), role physical, bodily pain, general health, vitality, social functioning, role emotional, and mental health. Each subscale was calculated on a 0 to 100-point scale. A score of zero indicated the worst health status, and a score of 100 indicated the best health status for the subscale. The calculated score based on the national standard was 50, with higher val-

ues indicating a better QOL. In addition, summary scores were calculated for each of the following three components: physical, mental, and role/social.

### Clinical factors

Blood tests (albumin [Alb], total protein [TP], and hemoglobin [Hb]), fever (over 38 °C), GvHD, and total corticosteroid dose were selected as clinical factors. Acute GvHD was graded according to the following guidelines<sup>23</sup>: “mild” in grades I-II and “severe” in grades III-IV. The total corticosteroid dose was expressed as milligrams of prednisolone (1 mg of hydrocortisone = 0.25 prednisolone, 1 mg of methylprednisolone = 1.25 prednisolone) per kilogram. The cumulative dose of corticosteroid was calculated between day 0 and the day of the 1-year assessment. The average weight index was used at the pre-transplant, discharge, and 1-year post-transplant assessments. Clinical factors

**Table 1. Demographic and clinical characteristics of allo-HSCT patients**

Number (n)	71
Sex (n; mem/women)	34/37
Median age, years (range)	56 (20-79)
Diagnosis (n)	
Adult T-cell leukemia/lymphoma	25
Acute myelogenous leukemia	19
Myelodysplastic syndrome	12
Acute lymphocytic leukemia	5
Multiple myeloma	2
Aplastic anemia	2
Biphenotypic acute leukemia	1
Acute undifferentiated leukemia	1
Chronic myeloid leukemia	1
Non-Hodgkin lymphoma	1
Myelofibrosis	1
T-lymphoblastic lymphoma	1
Stem cell source (n)	
Bone marrow	27
Peripheral blood cells	7
Cord blood	37
Conditioning intensity (n)	
Myeloablative conditioning	42
Reduced intensity conditioning	29
GvHD, n (%)	
Acute GvHD	
Grade I-II	25 (35.2)
Grade III-IV	9 (12.7)
Chronic GvHD	
Chronic GvHD	19 (26.8)
Corticosteroid dose (mg/kg)	
Total corticosteroid dose, mean (range)	29.7 (2.01-142.5)
Total corticosteroid dose during hospitalization, mean (range)	19.5 (2.01-116.3)
Total corticosteroid dose after discharge to 1 year, mean (range)	11.2 (0-107.2)
Mean time from the day of allo-HSCT to discharge, days (range)	60.9 (22-154)
Mean adherence to exercise during hospitalization, % (range)	90.3 (49.4-100)

GvHD, graft-versus-host disease; allo-HSCT, allogeneic hematopoietic stem cell transplantation.

were extracted from the medical records.

### Statistical analysis

The results for each index are presented as mean or median. Normality was tested using the Kolmogorov-Smirnov method. Changes in physical function, muscle mass, QOL, and clinical factors over time were analyzed using the one-way analysis of variance (Bonferroni's correction for multiple comparisons) or the Friedman test (Scheffe's test). Multiple regression analysis was used to examine the factors associated with physical function, muscle mass, and QOL recovery 1 year after allo-HSCT. The independent variables were the rate of change in clinical factors (pre-HSCT to 1-year post-HSCT), change in physical function (pre-HSCT to 1-year post-HSCT), steroid dosage, adherence to exercise therapy during hospitalization, pre-HSCT intensity

conditioning, age, and GvHD. These were used to perform single regression analysis, and factors with  $P < 0.15$  were used for multiple regression analysis. The change in each indicator from pre-HSCT to 1 year after HSCT was expressed as a percentage. Similarly, we analyzed factors affecting physical function and QOL 1 year after allo-HSCT. Adherence to exercise therapy was calculated as the percentage of days in which exercise therapy was performed relative to the scheduled days. Statistical analysis was performed using EZR version 1.55<sup>24</sup> (Saitama Medical Center, Jichi Medical University, Saitama, Japan), and a P-value of  $< 0.05$  was considered statistically significant.

**Table 2. Changes in physical function and muscle mass**

	Before allo-HSCT (t0)	At discharge (t1)	1 year after allo-HSCT (t2)	t0 to t1	t1 to t2	t0 to t2	
				P-Value <sup>a</sup>	P-Value <sup>a</sup>	P-Value <sup>a</sup>	
<b>Physical function</b>							
Handgrip strength (kg)	26.0 (8.7)	22.4 (7.8)	25.9 (9.0)	<0.001	<0.001	1.000	<0.001 *
6MWT (m)	471.8 (83.7)	434.9 (79.3)	514.5 (86.8)	<0.001	<0.001	<0.001	<0.001 *
<b>Muscle mass (kg)</b>							
Whole body	20.5 (5.5)	18.5 (4.8)	18.9 (5.2)	<0.001	0.819	<0.001	<0.001 **
Upper extremity	2.13 (0.66)	1.92 (0.61)	1.98 (0.63)	<0.001	1.000	0.010	<0.001 **
Lower extremity	9.18 (2.06)	8.58 (1.98)	8.53 (1.97)	<0.001	1.000	<0.001	<0.001 *
Trunk	9.20 (2.92)	8.11 (2.42)	8.63 (3.23)	<0.001	0.372	0.002	<0.001 **
Body weight (kg)	57.4 (10.3)	52.2 (9.37)	52.5 (10.8)	<0.001	1.000	<0.001	<0.001 **

Values are presented as mean (s.d.); <sup>a</sup>, multiple comparison; <sup>b</sup>, analysis of variance; \*, Repeated-measures analysis of variance; \*\*, Friedman test.

6MWT, 6-min walk test; allo-HSCT, allogeneic hematopoietic stem cell transplantation.

**Table 3. Changes in quality of life**

	Before allo-HSCT (t0)	At discharge (t1)	1 year after allo-HSCT (t2)	t0 to t1	t1 to t2	t0 to t2	
				P-Value <sup>a</sup>	P-Value <sup>a</sup>	P-Value <sup>a</sup>	
<b>SF-36 subscales 0–100 scale</b>							
Physical functioning	74.8 (19.2)	63.1 (19.3)	81.3 (15.5)	<0.001	<0.001	0.129	<0.001 *
Role-physical	57.6 (30.1)	42.2 (24.7)	72.8 (24.8)	0.004	<0.001	0.004	<0.001 *
Bodily pain	62.8 (26.4)	44.9 (22.3)	72.5 (23.7)	<0.001	<0.001	0.025	<0.001 *
General health	45.2 (16.0)	50.9 (13.4)	57.7 (13.8)	0.339	0.001	0.348	0.001 *
Vitality	59.0 (20.2)	45.4 (18.0)	64.1 (18.3)	<0.001	<0.001	0.306	<0.001 *
Social functioning	55.8 (30.8)	48.8 (33.8)	77.5 (23.9)	0.500	<0.001	<0.001	<0.001 *
Role-emotional	69.7 (28.4)	52.1 (30.9)	78.7 (24.9)	<0.001	<0.001	0.140	<0.001 *
Mental health	68.8 (19.4)	55.1 (18.3)	71.7 (19.6)	<0.001	<0.001	0.240	<0.001 *
<b>SF-36 subscales GPN scale</b>							
Physical functioning	43.4 (10.5)	37.2 (10.1)	46.7 (8.6)	<0.001	<0.001	0.189	<0.001 *
Role-physical	37.1 (13.9)	30.4 (11.0)	43.9 (12.0)	0.008	<0.001	0.008	<0.001 *
Bodily pain	44.2 (12.0)	36.1 (10.4)	48.4 (10.5)	<0.001	<0.001	0.052	<0.001 *
General health	47.9 (8.3)	46.6 (6.8)	49.8 (6.8)	0.438	0.002	0.351	0.006 *
Vitality	51.2 (9.8)	44.6 (8.6)	54.0 (8.9)	<0.001	<0.001	0.179	<0.001 *
Social functioning	37.8 (13.8)	34.5 (14.6)	47.8 (11.0)	0.300	<0.001	<0.001	<0.001 *
Role-emotional	43.0 (12.9)	36.3 (13.5)	47.5 (11.3)	0.005	<0.001	0.080	<0.001 *
Mental health	51.3 (9.8)	44.8 (8.9)	53.3 (9.9)	<0.001	<0.001	0.100	<0.001 *
<b>3 component score</b>							
3PCS	43.9 (11.5)	39.4 (9.8)	45.3 (8.7)	0.011	<0.001	1.000	<0.001 *
3MCS	54.7 (8.9)	51.0 (8.2)	55.6 (7.1)	0.022	<0.001	1.000	<0.001 *
3RCS	37.5 (13.1)	32.4 (13.9)	45.6 (12.2)	0.084	<0.001	<0.001	<0.001 *

Values are presented as mean (s.d.); <sup>a</sup>, multiple comparison; <sup>b</sup>, analysis of variance; \*, Friedman test.

SF-36, Medical Outcome Study 36-item Short Form Health Survey; GPN, general population norm; 3PCS, 3 physical component summary; 3MCS, 3 Mental component summary; 3RCS, 3 Role/Social component summary; allo-HSCT, allogeneic hematopoietic stem cell transplantation.

## Results

### Study population

A flow diagram of the study population is shown in **Figure 1**. Of the 286 patients, 103 died during hospitalization and 32 could not be evaluated at discharge owing to physical problems. Of the 151 patients who were evaluated at discharge, 71 patients were included in the final analysis: 37 patients who died within 1 year

of allo-HSCT, 12 patients who were in inpatient care 1 year after allo-HSCT, 26 patients who did not participate in LTFU, and 5 patients who were followed at other hospitals were excluded.

Patient characteristics are shown in **Table 1**. An almost equal distribution of men and women was observed, with adult T-cell leukemia/lymphoma (ATL) being the most common disease (our hospital is in an endemic area for the HTLV-1 virus). Most of the factors

**Table 4. Changes in laboratory data**

	Before allo-HSCT	At discharge	1 year after allo-	t0 to t1	t1 to t2	t0 to t2	
	(t0)	(t1)	HSCT (t2)	P-Value <sup>a</sup>	P-Value <sup>a</sup>	P-Value <sup>a</sup>	P-Value <sup>b</sup>
Albumin (g/dL)	3.95 (0.45)	3.56 (0.38)	4.10 (0.50)	<0.001	<0.001	0.004	<0.001 *
Total protein (g/dL)	6.49 (0.60)	5.83 (0.79)	6.59 (0.63)	<0.001	<0.001	0.350	<0.001 **
Hemoglobin (g/dL)	9.23 (1.85)	9.30 (1.53)	11.5 (1.93)	<0.001	<0.001	1.000	<0.001 **

Values are presented as mean (s.d.); <sup>a</sup>, multiple comparison; <sup>b</sup>, analysis of variance; \*, Repeated-measures analysis of variance; \*\*, Friedman test.

allo-HSCT, allogeneic hematopoietic stem cell transplantation.

**Table 5. Multivariate analysis of factors that predicted recovery of physical function, muscle mass, and QOL measures**

Dependent variables	Independent variables	Estimate	P-Value	95 % CI for B		R <sup>2</sup>	P-Value
				Lower	Upper		
6-min walk test	%hemoglobin	0.178	0.004	0.060	0.297	0.247	0.001
	Age	-7.263	0.047	-14.432	-0.093		
Trunk muscle mass	%albumin	0.477	0.016	0.093	0.860	0.146	0.020
SF-36 subscales							
Bodily pain	Men	16.744	0.033	1.374	32.113	0.232	0.009
Vitality	Acute GvHD	-13.933	0.021	-25.726	-2.140	0.131	0.026
Role emotion	Men	19.474	0.038	1.136	37.812	0.028	0.010
	Myeloablative conditioning	-20.215	0.034	-38.845	-1.586		
Mental health	Acute GvHD	-11.235	0.020	-20.632	-1.833	0.198	0.010

%, change rate between before and 1 year after allogeneic hematopoietic stem cell transplantation.

GvHD, graft-versus-host disease; SF-36, Medical Outcome Study 36-item Short Form Health Survey; QOL, quality of life.

that reduced conditioning intensity were due to age. Acute GvHD was observed in 34 patients (severe GvHD in nine patients) during hospitalization. Chronic GvHD was observed in 19 patients but was limited to mild skin issues, mucous membrane disorders, dry eyes, and joint symptoms (joint contractures and pain), and the median total corticosteroid dose after discharge was 1.3 mg/kg. Of the 71 patients, 4 (with ATL) relapsed within 1 year of allo-HSCT, and two received additional treatment.

### Physical function and body composition change before and after allo-HSCT

Handgrip strength decreased significantly at the time of discharge assessment ( $P < 0.001$ ) but improved considerably 1 year after allo-HSCT ( $P < 0.001$ ), reaching pre-HSCT levels (**Table 2**). Likewise, the 6 MWT results decreased significantly at discharge ( $P < 0.001$ ) but markedly improved 1 year after allo-HSCT ( $P < 0.001$ ), recovering to pre-HSCT levels.

Regarding changes in muscle mass, the whole-body muscle mass significantly decreased at discharge ( $P < 0.001$ ) and showed a slight improvement 1 year after allo-HSCT; however, pre-HSCT levels were not achieved. Similar outcomes were noted in body weight and the upper extremity, lower extremity, and trunk muscle mass.

### Changes in the QOL before and after allo-HSCT

Seven of the eight subscales, excluding general health, decreased significantly at discharge (**Table 3**). However, all eight subscales improved substantially from discharge to 1 year after allo-HSCT, reaching pre-HSCT levels. Of the eight subscales, only vitality and mental health exceeded the national standard of 50 at 1 year after allo-HSCT.

### Changes in laboratory data before and after allo-HSCT

Alb, TP, and Hb levels decreased significantly after allo-HSCT ( $P < 0.001$ ) but showed significant recovery from discharge to 1 year after allo-HSCT (**Table 4**). In addition, Alb values at 1-year post-HSCT showed better results than pre-transplant levels.

### Factors associated with recovery to pre-HSCT levels

An improvement in Hb levels was positively associated with improvement in the 6 MWT results ( $R^2 = 0.247$ ,  $P < 0.001$ ). Also, an improvement in Alb levels was positively associated with improvement in trunk muscle mass ( $R^2 = 0.146$ ,  $P = 0.020$ ) (**Table 5**).

Men were positively associated with QOL (bodily pain [ $R^2 = 0.232$ ,  $P = 0.009$ ] and role emotion [ $R^2 = 0.028$ ,  $P = 0.010$ ]). Acute GvHD was negatively associ-



**Table 6. Multivariate analysis of factors that predicted physical function, muscle mass, and QOL at 1 year after allo-HSCT**

Dependent variables	Independent variables	Estimate	P-Value	95 % CI for B		Adjusted R <sup>2</sup>	P-Value
				Lower	Upper		
6-min walk test	Age	-87.232	0.002	-139.634	-34.831	0.236	0.005
	Women	-63.333	0.008	-109.588	-17.078		
Handgrip strength	Total corticosteroid dose	-0.034	<0.001	-0.114	-0.014	0.571	<0.001
	Women	-12.362	<0.001	-15.213	-9.512		
	Age	-3.767	<0.001	-6.825	-0.710		
SF-36 subscales							
Physical function	Men	11.232	0.029	1.184	21.279	0.229	0.011
	Handgrip strength	0.856	0.009	0.227	1.485		
	Myeloablative conditioning	-8.940	0.017	-16.189	-1.690		
Role physical	Age	16.390	0.045	0.381	32.400	0.177	0.032
	Men	22.482	0.021	3.571	41.392		
	Handgrip strength	1.324	0.029	0.140	2.507		
Bodily pain	Men	28.662	0.003	10.351	46.973	0.186	0.022
	Handgrip strength	1.396	0.010	0.357	2.436		
General health	Acute GvHD	-17.466	<0.001	-26.778	-8.154	0.151	0.045
	Handgrip strength	0.683	0.036	0.048	1.318		
	Periods of hospitalization	0.246	0.006	0.007	0.420		
Vitality	Age	13.126	0.022	2.023	24.229	0.155	0.042
	Acute GvHD	-18.637	0.003	-30.574	-6.700		
	Periods of hospitalization	0.230	0.043	0.008	0.453		
	Myeloablative conditioning	-14.123	0.008	-24.437	-3.809		
Mental health	Acute GvHD	-14.650	0.014	-26.197	-3.102	0.158	0.039
	Periods of hospitalization	0.354	0.002	0.139	0.570		
	Myeloablative conditioning	-12.087	0.019	-22.064	-2.109		
3MCS	Acute GvHD	-8.641	<0.001	-13.299	-3.984	0.210	0.017
	Periods of hospitalization	0.156	<0.001	0.041	0.242		
	Myeloablative conditioning	-4.365	0.033	-8.352	-0.377		

GvHD, graft-versus-host disease; SF-36, Medical Outcome Study 36-item Short Form Health Survey; 3MCS, 3 mental component summary; allo-HSCT, allogeneic hematopoietic stem cell transplantation.

ated with recovery of QOL (vitality [ $R^2 = 0.131$ ,  $P = 0.026$ ] and mental health [ $R^2 = 0.198$ ,  $P = 0.010$ ]) at 1-year post-HSCT. Conditioning intensity (myeloablative conditioning; MAC) was negatively associated with recovery of QOL (role emotion [ $R^2 = 0.028$ ,  $P = 0.034$ ]) at 1-year post-HSCT.

### Factors associated with physical function and QOL at 1 year after allo-HSCT

Handgrip strength and the 6 MWT results at 1 year after allo-HSCT were negatively related to older age and women (Table 6). QOL was positively correlated with handgrip strength, age (older), sex (men), and periods of hospitalization, and negatively correlated with acute GvHD and conditioning intensity (MAC).

### Discussion

We investigated the recovery of physical function,

muscle mass, and QOL in patients undergoing initial allo-HSCT. One year after allo-HSCT, physical function and QOL scores reached pre-HSCT levels.

Handgrip strength and 6 MWT results decreased significantly at discharge but improved considerably 1 year after allo-HSCT. In this study, exercise therapy was initiated before allo-HSCT and continued until discharge, with an implementation rate of 90.3%, similar to other reports (74-90%)<sup>14, 25, 26</sup>. Continuation of exercise therapy contributed to maintaining patients' activities and ADL abilities, enabling discharge at the ambulatory level. At discharge, patients were instructed to perform the exercises at home. In addition, we advised them to continue stretching, strength training, and walking. Patients were also guided on how to maintain and improve their activities during the daytime. Most patients led active daily lives in the first few months after discharge from the hospital, although some had decreased activity due to fatigue. By maintaining moderate activity after dis-

charge from the hospital, handgrip strength and 6 MWT results seemed to recover to pre-HSCT levels 1 year after allo-HSCT.

Multivariate analysis showed that the recovery of the 6 MWT results was associated with improved Hb levels, which are indicative factors for the recovery of physical function to pre-HSCT levels at 1-year post-allo-HSCT. As factors associated with physical function at 1 year of allo-HSCT, age (older) and sex (women) were negatively associated with the 6 MWT, and corticosteroid administration and age were negatively associated with handgrip strength. A low Hb status is a hypoxic risk to tissues throughout the body because of decreased oxygen-carrying capacity concerning physical function and Hb levels<sup>27</sup>. Therefore, a low Hb level is an independent risk factor for decreased physical function (especially decreased endurance)<sup>28, 29</sup>. In patients undergoing allo-HSCT, there is a negative association between pre-HSCT Hb levels and the 6 MWT results<sup>3</sup>. In this study, Hb improved compared with the pre-HSCT level 1 year after allo-HSCT. Increased activity and improved Hb level after discharge from the hospital may have contributed to the recovery of the 6 MWT.

On the other hand, handgrip strength 1 year after allo-HSCT was negatively associated with total corticosteroid dosage. In allo-HSCT, corticosteroids are administered as a treatment for acute and chronic GvHD. Corticosteroids for acute GvHD are usually prescribed in high doses, while for chronic GvHD, they are administered for long periods after discharge from the hospital. In patients who undergo allo-HSCT, corticosteroid administration is associated with decreased physical function during hospitalization<sup>30</sup>. In the present study, chronic GvHD was observed in 26.8% of the cases. Symptoms of chronic GvHD include mild skin issues, mucous membrane disorders, and dry eyes, many of which can be treated with topical medication. Therefore, the median corticosteroid dose after discharge from the hospital was very low, 1.3 mg/kg. The median corticosteroid dosage in the present study was 18.5 mg/kg, a relatively low dosage compared with the median dosage of 25.96 mg/kg in a previous study of patients with chronic GvHD<sup>15</sup>. In this study, corticosteroid dosage was not associated with recovery of handgrip strength 1 year after allo-HSCT but rather was identified as a factor that negatively affected it. Thus, corticosteroids administered after transplantation may affect handgrip strength after allo-HSCT.

Changes in body composition, muscle mass, and body weight at 1-year post-HSCT improved slightly but did not reach pre-HSCT levels. Loss of appetite in long-term post-HSCT patients is reported to persist after discharge from the hospital<sup>13</sup>. In the present study, taste disturbance and loss of appetite seen during hospitalization continued after discharge in many cases, and improvement in food intake was slow. An increased Alb level was associated with trunk muscle mass recovery to pre-HSCT level at 1-year allo-HSCT. Serum Alb is a nutritional status that correlates with muscle mass<sup>31</sup>. In the hematological data, TP and Alb reached pre-HSCT levels 1 year after allo-HSCT. Improvements in TP and Alb were associated with recovery of physical function. However, muscle mass and body weight recovery required more time. It is necessary for physicians and nurses to interview patients about their health and post-transplant progress (GvHD and other complications) as well as their dietary intake during routine visits, and to provide nutritional guidance as needed.

Improvement in the QOL after allo-HSCT is reported to take approximately 1 year<sup>12, 13</sup>. In this study, all SF-36 subscales 1 year after allo-HSCT reached the pre-HSCT levels. QOL in long-term post-HSCT patients has been reported to be affected by chronic GvHD<sup>32, 33</sup>. In the present study, most patients with chronic GvHD had relatively mild symptoms, including skin issues, dry eyes, and dry mouth. Chronic GvHD was observed in 26.8% of the patients and was relatively mild in most cases, and thus, had little impact on the QOL. At 1-year post-HSCT, six of the eight subscale scores of the SF-36 were below the national standard of 50. Gifford et al. reported the QOL equivalent to the national standard 2 years after allo-HSCT<sup>34</sup>. Therefore, QOL scores at 1-year post-HSCT recovered to pre-HSCT levels but not to the national standard. As indicated in previous study<sup>34</sup>, recovery to the national standard level may take more than 1 year after allo-HSCT.

The results of the multivariate analysis showed that sex (men) was a positive factor associated with the pre-HSCT levels of QOL at 1 year after allo-HSCT, and acute GvHD was a negative factor. In addition, sex (men), periods of hospitalization and handgrip strength were positive factors in QOL at 1-year post-HSCT, while acute GvHD and conditioning regimens (MAC) were negative factors. With regard to sex differences in QOL after allo-HSCT, women have been reported to experience a greater decline in QOL than men<sup>35</sup>. Men may also recover QOL earlier than women. Physical function is associated with QOL in allo-HSCT recipients<sup>3</sup>, and there is a positive correlation between handgrip strength and the subscale SF-36 (PF)<sup>35</sup>. In long-term post-HSCT patients, those with higher physical function have a better QOL<sup>36</sup>. In terms of QOL and GvHD, although chronic GvHD is associated with lower QOL<sup>33</sup>, acute GvHD was also considered an influential factor at the relatively early time point of the first year of HSCT. We expected QOL recovery to be delayed in cases with longer hospital stays, but the opposite was true. The factors for this are unknown.



The American College of Sports Medicine promotes exercise therapy to restore physical function in cancer survivors<sup>37</sup>. Therefore, for the early recovery of physical function, muscle mass, and QOL in allo-HSCT recipients, it is important to implement exercise therapy during hospitalization, continue exercise at home after discharge, and conduct regular assessments in the outpatient setting.

However, this study has some limitations. First, this was a single-center, retrospective study involving only 71 cases. Second, exercise therapy after discharge was self-administered, and quantitative activity levels could not be assessed because no progress records were kept. However, at the 1-year post-HSCT assessment, we confirmed that the patients had gradually expanded their ADL by interviewing them about their activity level at home, any possible complications, and dietary intake. Third, many patients dropped out at the 1-year post-HSCT assessment. Factors contributing to non-participation included the fact that LTFU participation was voluntary, the length of time required for the LTFU, and the cost of the treatment, which was not covered by insurance. Of the 32 patients attending our hospital who could not be evaluated 1 year after allo-HSCT, there were eight cases of relapse, but the performance status was maintained. Furthermore, among these patients, only a small number had severely limited muscle strength or endurance; however, these results may be biased and require further investigation. Future large-scale studies that include post-discharge activity assessments are needed to clarify the time necessary to recover physical function, muscle mass, and QOL after allo-HSCT.

Muscle strength, endurance, and QOL of allo-HSCT recipients reached pre-HSCT levels 1 year after the treatment. However, muscle mass and body weight did not. Factors associated with the recovery of physical function and QOL included improved Hb levels and Alb levels, particularly in men. Physical function may be restored earlier by maintaining sufficient activity after discharge from the hospital.

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## Author Contributions

T.T., K.D., A.U., and N. Nakano designed the study, reviewed and analyzed the data, and wrote the paper. The clinical data collection was performed by T.T., N.

Nakashima, and T.O., who critically reviewed the previous versions of the manuscript. All authors approved the final manuscript.

## Conflicts of Interest

The authors declare no conflict of interest. Disclosure forms provided by the authors are available on the website.

## Ethical approval

This study was approved by the ethics committee of Imamura General Hospital (NCR21-45) and conducted in accordance with the Declaration of Helsinki and its later amendments. Per the Japanese ethics guidelines for clinical studies, written informed consent was not required from each patient.

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