

## G-CSF-combined conditioning in allogeneic transplantation for non-remission acute myeloid leukemia with $inv(3)(q21q26.2)/t(3;3)(q21;q26.2)$

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### Abstract

Acute myeloid leukemia (AML) with  $inv(3)(q21q26.2)$  or  $t(3;3)(q21;q26.2)$  has a dismal prognosis and poor response to conventional chemotherapy. Allogeneic hematopoietic cell transplantation (HCT) is a potentially curative treatment for adult AML with  $inv(3)/t(3;3)$  during complete remission (CR). Nevertheless, because fewer than half of patients achieve a CR with induction conventional chemotherapy, allogeneic HCT is frequently performed for AML with  $inv(3)/t(3;3)$  in non-remission. Here, we report six patients with adult AML with  $inv(3)/t(3;3)$  in non-remission who underwent allogeneic HCT at our institute between 2010 and 2024. The median age at the time of HCT was 43.5 years (range, 28-53 years). The median proportion of blasts in the bone marrow at HCT was 47.5% (range, 0.7-75.0%). The median duration from diagnosis to HCT was 65.5 days (range, 41-123 days). A total of five patients received single-unit cord blood transplantation, and one received bone marrow transplantation from an HLA-matched sibling donor. All patients received a myeloablative conditioning regimen, including 12 Gy total body irradiation and granulocyte colony-stimulating factor (G-CSF) combined with high-dose cytarabine, as well as standard cyclosporine and methotrexate for graft-versus-host disease prophylaxis. With a median follow-up of 41 months for survivors, three patients experienced relapse at 18, 5, and 2 months, whereas the remaining three patients were alive and disease-free at 173, 110, and 30 months after HCT. Our data demonstrate that G-CSF-combined myeloablative conditioning following allogeneic HCT could lead to favorable long-term remission for adult AML with  $inv(3)/t(3;3)$  in non-remission at HCT.

**Key words** acute myeloid leukemia, inversion 3, allogeneic hematopoietic cell transplantation, granulocyte colony-stimulating factor, myeloablative conditioning regimen

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### Introduction

Chromosome 3 inversion, including  $inv(3)(q21q26.2)$  or  $t(3;3)(q21;q26.2)$ , represents a distinct genetic abnormality that accounts for approximately 1% of acute myeloid leukemia (AML). This abnormality has been recognized as a unique subtype of AML with recurrent genetic abnormalities in the World Health Organization (WHO) classification since 2008. AML with  $inv(3)/t(3;3)$  is characterized by adverse outcomes, including poor response to conventional chemotherapy<sup>1,2</sup> and a higher risk of relapse even after allogeneic hematopoietic cell transplantation (HCT)<sup>3-6</sup>. Currently, allogeneic HCT is

the only potential long-term curative approach for patients who have achieved first complete remission (CR1) after induction chemotherapy. Nevertheless, less than half of patients achieve CR1 with induction conventional chemotherapy, which is lower than the rate in those without  $inv(3)/t(3;3)$ <sup>1,2</sup>. Therefore, allogeneic HCT is frequently performed for AML with  $inv(3)/t(3;3)$  in non-remission.

Priming with granulocyte colony-stimulating factor (G-CSF) enhances the susceptibility of cytarabine (Ara-C), a cell-cycle-specific drug, in AML cells. Using this concept, we administered G-CSF-combined high-dose Ara-C in myeloablative conditioning for allogeneic

HCT and reported that a G-CSF-combined conditioning regimen provided better overall survival in allogeneic HCT for myeloid malignancies, even in non-remission<sup>7,8</sup>. Here, we report the clinical outcomes of allogeneic HCT after G-CSF-combined myeloablative conditioning in adult non-remission AML patients with *inv(3)/t(3;3)* at our institute.

## Materials and Methods

We retrospectively reviewed cases of adult AML with *inv(3)/t(3;3)* that underwent allogeneic HCT at our institute between 2010 and 2024. Donor and graft types, conditioning regimen, graft-versus-host disease (GVHD) prophylaxis, and supportive care were determined by the treating physicians. This study was performed in accordance with the ethical standards laid down in the 1964 Declaration of Helsinki. The Institutional Review Board of the Institute of Medical Science, the University of Tokyo, approved this retrospective study (2024-35-0828) and the use of an opt-out consent mechanism.

## Results and Discussion

Between April 2010 and June 2024, six patients with AML and *inv(3)/t(3;3)* underwent allogeneic HCT at our institution. The characteristics of the patients and the allogeneic HCT procedures are summarized in **Table 1**. The median age at the time of HCT was 43.5 years (range, 28-53 years). One patient (Case 2) had a prior history of myelodysplastic syndrome (MDS). Chromosomal analysis revealed *inv(3)(q21q26.2)* in four patients and *t(3;3)(q21;q26.2)* in two patients. Additional chromosomal abnormalities included monosomy 7 in three patients and a complex karyotype in two patients. Three patients (Cases 3, 5, and 6) received conventional induction chemotherapy (Idarubicin and Ara-C), whereas the remaining three (Cases 1, 2, and 4) received low-dose Ara-C-based chemotherapy. No patient achieved complete remission (CR), except for one patient who achieved CR with incomplete hematologic recovery after receiving Idarubicin and Ara-C. The median proportion of blasts in the bone marrow at HCT was 47.5% (range, 0.7-75.0%). A total of five patients received single-unit cord blood transplantation (CBT), and one received bone marrow transplantation from an HLA-matched sibling donor. All patients received a myeloablative conditioning regimen, including four fractionated doses of 12 Gy total body irradiation (TBI), G-CSF combined with high-dose Ara-C, and standard cyclosporine and methotrexate for GVHD prophylaxis<sup>7,8</sup>. The median duration from diagnosis to HCT was 65.5 days (range, 41-123 days). Neutrophil engraftment, defined as an absolute neutrophil count  $>0.5 \times$

$10^9/L$ , was achieved in all patients at a median time of 24.5 days (range, 19-30 days). All patients developed grade II acute GVHD, and limited chronic GVHD occurred in three of five evaluable patients. All patients discontinued systemic immunosuppressive treatment (IST), with a median time to discontinuation of 111.5 days (range, 53-218 days) after HCT. The median follow-up for survivors was 41 months (range, 4-173 months). Three patients experienced relapse at 18, 5, and 2 months, whereas the remaining three patients were alive and disease-free at 173, 110, and 30 months after HCT (**Figure 1**). Of the three patients who relapsed after the first HCT, two received a second allogeneic HCT. However, two of these patients died, one from pulmonary edema on day 25 and the other from invasive fungal infection on day 79 after the second HCT (**Figure 1**).

AML with *inv(3)/t(3;3)* has a dismal prognosis due to a low response rate and high mortality when conventional chemotherapy is used alone. Several studies have shown the beneficial effect of allogeneic HCT over conventional chemotherapy alone in AML with *inv(3)/t(3;3)*<sup>3,4,6</sup>. In allogeneic HCT for AML with *inv(3)/t(3;3)*, CR at the time of HCT is the only prognostic factor associated with better survival<sup>5</sup>. Therefore, allogeneic HCT should be considered in AML with *inv(3)/t(3;3)*, especially in CR1. However, Lugthart et al. reported that CR rates were significantly lower in AML with *inv(3)/t(3;3)* compared with AML without 3q abnormalities (31% versus 70%,  $p < 0.001$ )<sup>1</sup>. Indeed, in our cohort, five of six patients did not achieve CR1 and were not in remission at HCT, but three patients maintained long-term remission following allogeneic HCT. This case series suggests that allogeneic HCT may be a potentially curative treatment for adult AML with *inv(3)/t(3;3)* even when patients are not in remission, which is consistent with the observations from the ASAP trial<sup>9</sup>.

In our cases, two of three patients without monosomy 7 and three of four patients without a complex karyotype were alive and disease-free in the long term after allogeneic HCT. This indicates that monosomy 7 or a complex karyotype may be prognostic factors for disease-free survival after allogeneic HCT in adult AML with *inv(3)/t(3;3)*. Several studies have evaluated the prognostic impact of additional chromosomal abnormalities in AML with *inv(3)/t(3;3)*, regardless of the type of treatment<sup>4,6</sup>. Rogers et al. showed that complex karyotype and monosomal karyotype, but not bone marrow blast percentage, were unfavorable factors for survival in AML and MDS with *inv(3)/t(3;3)*<sup>4</sup>. In contrast, a recent study by Richard-Carpentier et al. demonstrated that the presence of monosomy 7 or a complex karyotype was not associated with poor survival in AML with *inv(3)/t(3;3)*<sup>6</sup>. Among patients with AML

**Table 1. Characteristics of patients and allogeneic transplantations for AML with inv(3)/t(3;3)**

Number of cases	1	2	3	4	5	6
Age at HCT, years	46	41	53	40	28	47
Gender	Female	Female	Male	Male	Female	Male
Chromosome 3 inversion	t(3;3)	inv(3)	inv(3)	inv(3)	t(3;3)	inv(3)
Additional chromosomal abnormalities						
Monosomy 7	None	Presence	None	Presence	Presence	None
Complex karyotype <sup>a</sup>	None	Presence	None	None	None	Presence
WBC at diagnosis, × 10 <sup>9</sup> /L	2.48	3.73	30.70	2.16	3.46	5.25
Hb at diagnosis, g/dL	4.8	6.9	9.5	11.8	8.7	7.0
PLT at diagnosis, × 10 <sup>9</sup> /L	158	69	197	237	134	20
PB blasts at diagnosis, %	8.5	9.5	37.0	2.0	28.0	40.5
BM at diagnosis, %	36.1	16.2	30.0	27.8	72.0	67.8
Number of chemotherapy courses before HCT	1	1	1	1	3	3
Duration from diagnosis to HCT, days	59	41	72	50	123	100
Disease status at HCT	Non-CR	Non-CR	CRi	Non-CR	Non-CR	Non-CR
BM blasts at HCT, %	32.3	44.0	0.7	52.5	50.9	75.0
Donor	MSD	Unrelated	Unrelated	Unrelated	Unrelated	Unrelated
HSC source	BM	CB	CB	CB	CB	CB
Number of HLA-A, -B, and DR mismatch	0	1	2	2	2	2
Total nucleated cell dose, × 10 <sup>7</sup> /kg	33.80	1.85	3.12	2.07	3.99	1.96
CD34 <sup>+</sup> cell dose, × 10 <sup>5</sup> /kg	19.46	0.36	0.71	0.98	1.48	0.94
Conditioning regimen	TBI 12Gy+G-CSF combined Ara-C (24g/m <sup>2</sup> )	TBI 12Gy+G-CSF combined Ara-C (12g/m <sup>2</sup> ) +CY (120mg/kg)	TBI 12Gy+G-CSF combined Ara-C (12g/m <sup>2</sup> ) +CY (120mg/kg)	TBI 12Gy+G-CSF combined Ara-C (12g/m <sup>2</sup> ) +CY (120mg/kg)	TBI 12Gy+G-CSF combined Ara-C (12g/m <sup>2</sup> ) +CY (120mg/kg)	TBI 12Gy+G-CSF combined Ara-C (24g/m <sup>2</sup> ) +Flu
GVHD prophylaxis	CSP+MTX	CSP+MTX	CSP+MTX	CSP+MTX	CSP+MTX	CSP+MTX
Neutrophil engraftment <sup>b</sup> , days	25	30	24	19	21	25
Platelet engraftment <sup>c</sup> , days	30	85	40	40	46	39
Acute GVHD	II (skin3 alone)	II (skin3 alone)	II (skin3 alone)	II (skin3 alone)	II (skin3 alone)	II (skin3 alone)
Chronic GVHD	Limited	Limited	Limited	None	None	Not evaluable
Discontinuation of systemic IST, days	218	141	148	82	56	53
Relapse, months	None	18	None	5	None	2
Survival, months	173+	52	110+	13	30+	4+
Cause of death		Pulmonary edema after second HCT		Invasive fungal infection after second HCT		

AML, acute myeloid leukemia; HCT, hematopoietic cell transplantation; WBC, white blood cell; Hb, hemoglobin; PLT, platelet; PB, peripheral blood; BM, bone marrow; HSC, hematopoietic stem cell; HLA, human leukocyte antigen; GVHD, graft-versus-host disease; IST, immunosuppressive treatment; CR, complete remission; CRi, CR with incomplete hematologic recovery; MSD, matched sibling donor; CB, cord blood; TBI, total body irradiation; G-CSF, granulocyte-colony stimulating factor; Ara-C, cytarabine; CY, cyclophosphamide; Flu, fludarabine; CSP, cyclosporine; MTX, methotrexate.

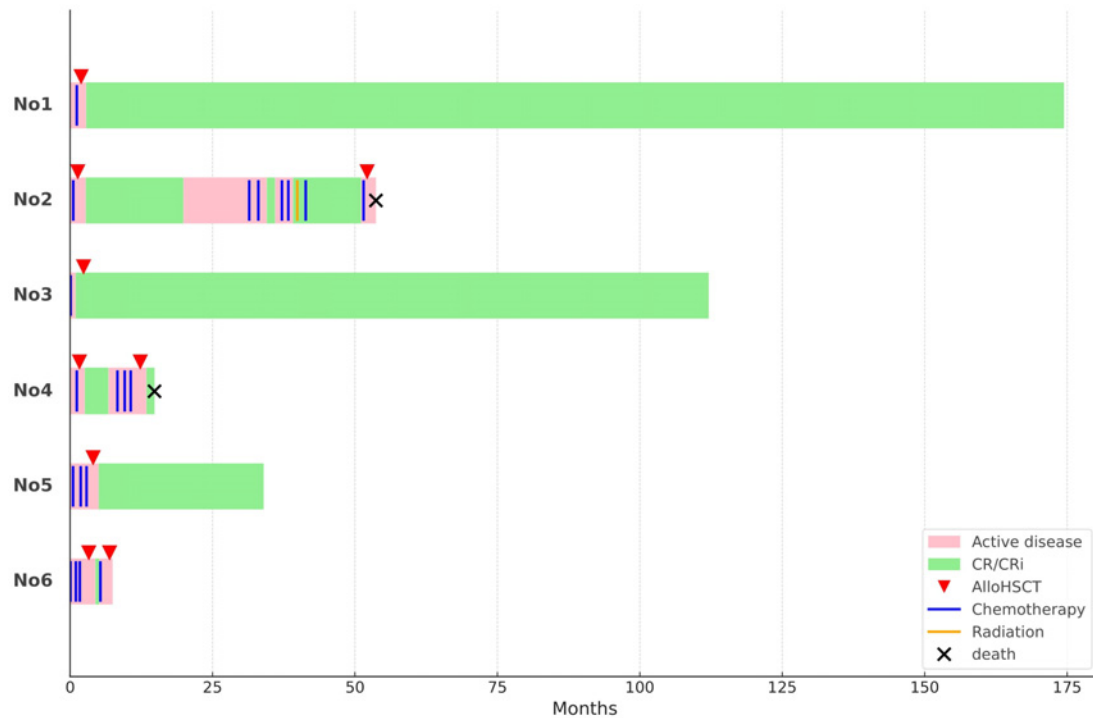
<sup>a</sup> The complex karyotype was defined as the presence of ≥3 chromosome abnormalities.

<sup>b</sup> Neutrophil engraftment was defined as an absolute neutrophil count exceeding 0.5 × 10<sup>9</sup>/L on three consecutive days.

<sup>c</sup> Platelet engraftment was characterized as a platelet count exceeding 20 × 10<sup>9</sup>/L on seven consecutive days following the last platelet transfusion.

and inv(3)/t(3;3) who received allogeneic HCT, monosomy 7 was associated with higher relapse rates, but complex karyotype was not associated with it<sup>5</sup>. These conflicting results may be partly due to the small sample size in studies of AML with inv(3)/t(3;3). A recent study demonstrated that mutations in *KRAS*, *ASXL1*,

and *DNMT3A* were associated with poor survival in AML with inv(3)/t(3;3), regardless of the treatment type<sup>6</sup>, but the mutation profiles were insufficiently detailed in our cohort. Therefore, further studies are needed to assess the prognostic impact of additional chromosomal abnormalities and gene mutations in adult



**Figure 1. Events and interventions are described in the figure. A swimmer plot showing the duration of complete remission (CR) following allogeneic HCT in each patient with adult AML and  $inv(3)/t(3;3)$**   
Events and interventions are described in the figure.

AML with  $inv(3)/t(3;3)$  following allogeneic HCT.

The long-term disease-free survival following allogeneic HCT for non-remission AML with  $inv(3)/t(3;3)$  in our cohort is better than in previous reports, which showed overall survival rates of less than 20%<sup>5,6</sup>. This may be partly due to the greater proportion of patients receiving CBT. According to our previous study, CBT can produce a stronger graft-versus-leukemia (GVL) effect, which lowers the risk of relapse after HCT<sup>10</sup>. Given the quick availability and stronger GVL effects of CBT, it may be advantageous to choose CBT for AML with  $inv(3)/t(3;3)$  in non-remission status.

Furthermore, all six patients in our cohort received an intensified myeloablative conditioning regimen, involving the addition of G-CSF-combined high-dose Ara-C to 12 Gy TBI<sup>7,8</sup>. Based on the concept of a G-CSF priming effect, G-CSF may increase the vulnerability of leukemia cells to the cell-cycle-specific drug Ara-C, both *in vitro* and *in vivo*, as well as in AML treatment. When Ara-C is combined with G-CSF, quiescent AML stem cells in the bone marrow endosteal region undergo apoptosis and enter the cell cycle in patient-derived xenograft models of AML<sup>11</sup>. This suggests that the priming effect of G-CSF may help eradicate leukemia stem cells, which could otherwise contribute to relapse following treatment. Indeed, the ectopic viral integration (EVI1) gene, located on chromosome 3q26.2, is aberrantly upregulated in almost all

cases of AML with  $inv(3)/t(3;3)$ . Overexpression of EVI1 maintains the quiescence of both normal hematopoietic stem cells and leukemia stem cells<sup>12</sup>, which may contribute to chemotherapy resistance. Therefore, G-CSF-combined myeloablative conditioning may help overcome the poor prognosis of non-remission AML with  $inv(3)/t(3;3)$  following allogeneic HCT.

In summary, our data suggest that G-CSF-combined myeloablative conditioning following allogeneic HCT may result in favorable long-term remission in adult AML with  $inv(3)/t(3;3)$ , even in cases where the patient is in the absence of remission at the time of transplantation.

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## Author Contributions

YO collected the data, analyzed the data, and wrote the manuscript. TK designed the research, collected the data, analyzed the data, and wrote the manuscript. All the other authors contributed to data collection. All authors approved the final version.

## Conflicts of Interest

ST is one of the editors of Blood Cell Therapy. He was not involved in the editorial evaluation or decision to accept this article for publication.

The authors declare no conflict of interest. Disclosure forms provided by the authors are available on the website.

## Data Availability

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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